CONTRARIUS

Investor Services contact details

Phone: 1300 050 207 | **International:** +61 2 8022 7495
Email: contrarius@unitregistry.com.au
Web: www.contrarius.com.au

Transfer Form

Use this form if you are an existing investor and wish to transfer units to another investor.

Please complete all sections in BLOCK letters and using a black pen. If you make an error while completing this form, do not use correction fluid, cross out your mistake and initial your changes.

HOW TO COMPLETE THIS FORM

Step 1 **Instructions if you are transferring units to an existing investor.**

The following needs to be completed:

- transferee needs to complete **sections A** and **B**
- transferor needs to complete the transfer details in **section 1**
- transferor needs to write their account number and investor name in **section 2** as it appears on their latest statement
- transferee needs to write their account number and investor name in **section 3** as it appears on their latest statement
- both the transferor and transferee need to sign this form as per the 'Acknowledgments and signatures' in **section 5**.

Step 2 **Instructions if you are transferring units to a new investor:**

The transferor needs to complete the following:

- complete the transfer details in **section 1**
- write their account number and investor name in **section 2** as it appears on the latest statement
- sign this form as per the 'Acknowledgements and signatures' in **section 5**.

The transferee needs to:

- complete **sections A** and **B**
- write their investor details in section 4 and sign this form as per the 'Acknowledgements and signatures' in **section 5**
- complete the fund's application form
- arrange for copies of their relevant identification documents to be certified.

Step 3 **Send your documents to us.**

Before you submit your transfer form, please check that:

- both the transferor and transferee have signed the transfer form
- the transfer form and application form (if applicable), along with relevant identification form and documents and the tax information form (if applicable) are included

Please post your **original signed** transfer form, **original application form** (if applicable) and **original certified** copies of relevant identification documents (if applicable) to us.

Send by post:
Contrarius Funds Unit Registry
GPO Box 804
Melbourne VIC 3001
Australia

Section A: DDO Obligations

The following questions may assist the Responsible Entity in meeting its regulatory obligations by determining whether this financial product is being offered to the stated target market.

The below only needs to be answered where you are a direct [retail] investor (i.e. does not apply to indirect or intermediated investments such as those made by platforms, custodians, etc).

A. Was this investment made based on personal advice received from your financial adviser?

- [ ] **Yes**
- [ ] **No**

B. What is your primary investment objective in relation to this investment? (select only one option)

- [ ] **Capital growth** (you seek to invest in a product designed or expected to generate capital return over the investment timeframe. You prefer exposure to growth assets (such as shares or property) or otherwise seeks an investment return above the current inflation rate).
- [ ] **Capital preservation** (you seek to invest in a product designed or expected to have low volatility and minimise capital loss. You prefer exposure to defensive assets that are generally lower in risk and less volatile than growth investments (this may include cash or fixed income securities).
- [ ] **Income distribution** (you seek to invest in a product designed or expected to distribute regular and/or tax-effective income. You prefer exposure to income-generated assets (this may include high dividend-yielding equities, fixed income securities and money market instruments)).

C. Are you seeking a source of supplemental income (which may not be regular or recurrent) in addition to the above investment objective? (select only one option)

- [ ] **Yes**
- [ ] **No**

D. What is your investment timeframe in relation to this investment? (select only one option)

- [ ] **Up to and including 2 years** (i.e. Short term)
- [ ] **More than 2 years but less than 5 years** (i.e. Medium term)
- [ ] **Equal to 5 years but less than 7 years** (i.e. Medium to long term)
- [ ] **Equal to 7 years or more** (i.e. Long term)

E. Under normal circumstances, within what period do you expect to be able to access your funds for this investment? (select only one option)

- [ ] **Within one week**
- [ ] **Within one month**
- [ ] **Within three months**
- [ ] **Within one year**
- [ ] **Within five years**
- [ ] **Within ten years**
- [ ] **More than 10 years**
- [ ] **At the issuer's discretion**

F. In relation to this investment, which investment risk and return profile best describes you? (select only one option)

- [ ] **Low risk and return:** You are looking for an investment that is low risk in nature (e.g. you have the ability to tolerate up to 1 negative return over a 20-year period and you are comfortable with a low target return from this investment).
- [ ] **Medium risk and return:** You are looking for an investment that is moderate or medium risk in nature (e.g. you have the ability to tolerate up to 4 negative returns over a 20-year period and you are comfortable with a moderate target return from this investment).
- [ ] **High risk and return:** You are looking for an investment that is higher risk in nature (e.g. you have the ability to tolerate up to 6 negative returns over a 20-year period in order to achieve a higher target return from this investment).
- [ ] **Very high risk and return:** You are looking for an investment that is very high risk in nature (e.g. you have the ability to tolerate 6 or more negative returns over a 20-year period as you are seeking to maximise returns and you can accept higher potential losses).
- [ ] **Extremely high risk and return:** You are for an investment that is extremely high risk in nature (e.g. you have the ability to accept significant volatility and losses as you are seeking to obtain accelerated returns (potentially in a short timeframe)).

G. What percentage of your total investable assets are you directing to this fund - that is the total assets you have available for investment, excluding your residential home? (select only one option)

- [ ] **Solution/Standalone** (up to 100%)
- [ ] **Major allocation** (up to 75%)
- [ ] **Core component** (up to 50%)
- [ ] **Minor allocation** (up to 25%)
- [ ] **Satellite allocation** (up to 10%)

Please note:

1. Failure to complete the above questions may result in your application not being accepted.
2. Acceptance of your application should not be taken as a representation or confirmation that an investment in the fund is, or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions.
3. For further information on the suitability of this product, please refer to your financial adviser and/or the product's Target Market Determination (TMD).

1. TRANSFER DETAILS

Please indicate if you are making a full or partial transfer.

If you are making a partial transfer, please specify the number of units or dollar amount you wish to transfer.

Fund name	APIR Code	Partial transfer		Full transfer
		Transfer amount (AUD)	Transfer units (number of units)	
Global Equity				
Contrarius Global Equity Fund - Retail Class	ETL4012AU			☐
Contrarius Global Equity Fund - Institutional Class A	ETL2212AU			☐
Contrarius Global Equity Fund - Institutional Class B	ETL3882AU			☐
Global Asset Allocation				
Contrarius Global Balanced Fund - Retail Class	ETL5212AU			☐
Australia Equity				
Contrarius Australia Equity Fund - Class A	ETL5508AU			☐
Contrarius Australia Equity Fund - Class B	ETL4996AU			☐
Australia Asset Allocation				
Contrarius Australia Balanced Fund - Class A	ETL5505AU			☐

Minimum balances apply. Please refer to the Fund's Product Disclosure Statement (PDS).

☐ No Change of Beneficial Ownership

2. TRANSFEROR/SELLER DETAILS

Account number

Investor name

3. TRANSFEREE/BUYER DETAILS - EXISTING INVESTORS ONLY

If you are transferring units to an **existing** investor, please complete the details below.

Account number

Investor name

4. TRANSFEREE/BUYER - NEW INVESTORS

If you are transferring units to a **new** investor, please complete the details below.

The new investor will also need to complete and submit an application form and accompanying identification documents.

Title | Full given name(s) | Surname

Company/Trust/Superannuation Fund

5. ACKNOWLEDGEMENTS AND SIGNATURES

You agree that by completing and signing this form:

- you authorise us to act according to the instructions on this form
- you acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us
- the transferee/buyer confirms that they have read and understood the Target Market Determination ("TMD") for the relevant Fund, available at www.contrarius.com.au/investwithus/howtoinvest
- the transferee/buyer confirms that they have carefully considered the features of the relevant Fund as described in the Product Disclosure Statement ("PDS") and TMD (including its objectives, minimum suggested investment time frame, risk level, withdrawal arrangements and investor suitability) and they are satisfied that their proposed investment in the relevant Fund is consistent with their objectives, financial circumstanced and needs
- acknowledge and agree that an acceptance of this form is not a representation or confirmation from Equity Trustees, Contrarius Australia or any other their related entities or their servants, agents, employees or representatives, that an investment in the relevant Fund is or is likely to be consistent with your objectives, financial situation and needs, as indicated in your responses to the questions in 'Transferee/Buyer – Your consumer attributes' in section 1a, and acknowledge that a failure to complete these questions (required for direct retail investors) may delay or stop this transaction from being accepted
- you agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Signing instructions

Individual - where the investment is in one name, the account holder must sign.

Joint Holding - where the investment is in more than one name, all of the account holders must sign.

Companies - where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust - the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney - if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Transferor/Seller

Signature of Investor 1, Director or authorised representative

Signature

Please print full name

Date signed

DD / MM / YYYY

Company officer (please indicate company capacity)	
Director	☐
Sole Director or Company Secretary	☐
Authorised Representative	☐

Signature of Investor 2, Director/Company Secretary or authorised representative

Signature

Please print full name

Date signed

DD / MM / YYYY

Company officer (please indicate company capacity)	
Director	☐
Company Secretary	☐
Authorised Representative	☐

Transferee/Buyer

Signature of Investor 1, Director or authorised representative

Signature

Please print full name

Date signed

D D / M M / Y Y Y Y

Company officer (please indicate company capacity)	
Director	☐
Sole Director or Company Secretary	☐
Authorised Representative	☐

Signature of Investor 2, Director/Company Secretary or authorised representative

Signature

Please print full name

Date signed

D D / M M / Y Y Y Y

Company officer (please indicate company capacity)	
Director	☐
Company Secretary	☐
Authorised Representative	☐