

## Switch Request Form

Use this form if you are an existing investor and wish to switch your investment between the Funds.

Please complete all sections in BLOCK letters and using a black pen. If you make an error while completing this form, do not use correction fluid, cross out your mistake and initial your changes.

### HOW TO COMPLETE THIS FORM

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**Step 1**      **Read and ensure you understand the applicable Product Disclosure Statement (PDS) and Target Market Determination (TMD) for each Fund you are switching to.**

The PDS and TMD are available on our website here [www.contrarius.com.au/InvestWithUs/HowToInvest](http://www.contrarius.com.au/InvestWithUs/HowToInvest). If you are unable to access the link or print the document, contact us on 1300 050 207 or [contrarius@unitregistry.com.au](mailto:contrarius@unitregistry.com.au).

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**Step 2**      **Please ensure you have completed the following:**

- completed **section A** and **section B** (if applicable)
- written your account number and investor name in **section 1** as it appears on your latest statement
- entered your switch details in **section 2**, including the dollar value or number of units you wish to switch
- selected the 'Existing instructions' box (if applicable) in **section 4**
- signed the form as per the 'Acknowledgements and signatures' in **section 5**

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**Step 3**      **Send your documents to us.**

You can return your form by post or email according to the details below:

**Send by post:**

Contrarius Funds Unit Registry  
GPO Box 804  
Melbourne VIC 3001

**Scan and email to:** [contrarius.forms@unitregistry.com.au](mailto:contrarius.forms@unitregistry.com.au)

\* Please include your account number in the subject line of your email.

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## Section A: DDO Obligations

The following questions may assist the Responsible Entity in meeting its regulatory obligations by determining whether this financial product is being offered to the stated target market.

The below only needs to be answered where you are a direct [retail] investor (i.e. does not apply to Indirect or intermediated investments such as those made by platforms, custodians, etc).

### A. Was this investment made based on personal advice received from your financial adviser?

☐ Yes

☐ No

### B. What is your primary investment objective in relation to this investment? (select only one option)

☐ **Capital growth** (you seek to invest in a product designed or expected to generate capital return over the investment timeframe. You prefer exposure to growth assets (such as shares or property) or otherwise seeks an investment return above the current inflation rate).

☐ **Capital preservation** (you seek to invest in a product designed or expected to have low volatility and minimise capital loss. You prefer exposure to defensive assets that are generally lower in risk and less volatile than growth investments (this may include cash or fixed income securities)).

☐ **Income distribution** (you seek to invest in a product designed or expected to distribute regular and/or tax-effective income. You prefer exposure to income-generated assets (this may include high dividend-yielding equities, fixed income securities and money market instruments)).

### C. Are you seeking a source of supplemental income (which may not be regular or recurrent) in addition to the above investment objective? (select only one option)

☐ Yes

☐ No

### D. What is your investment timeframe in relation to this investment? (select only one option)

☐ Up to and including 2 years (i.e. Short term)

☐ More than 2 years but less than 5 years (i.e. Medium term)

☐ Equal to 5 years but less than 7 years (i.e. Medium to long term)

☐ Equal to 7 years or more (i.e. Long term)

### E. Under normal circumstances, within what period do you expect to be able to access your funds for this investment? (select only one option)

☐ Within one week

☐ Within five years

☐ Within one month

☐ Within ten years

☐ Within three months

☐ More than 10 years

☐ Within one year

☐ At the issuer's discretion

**F. In relation to this investment, which investment risk and return profile best describes you? (select only one option)**

- ☐ **Low risk and return:** You are looking for an investment that is low risk in nature (e.g. you have the ability to tolerate up to 1 negative return over a 20-year period and you are comfortable with a low target return from this investment).
- ☐ **Medium risk and return:** You are looking for an investment that is moderate or medium risk in nature (e.g. you have the ability to tolerate up to 4 negative returns over a 20-year period and you are comfortable with a moderate target return from this investment).
- ☐ **High risk and return:** You are looking for an investment that is higher risk in nature (e.g. you have the ability to tolerate up to 6 negative returns over a 20-year period in order to achieve a higher target return from this investment).
- ☐ **Very high risk and return:** You are looking for an investment that is very high risk in nature (e.g. you have the ability to tolerate 6 or more negative returns over a 20-year period as you are seeking to maximise returns and you can accept higher potential losses).
- ☐ **Extremely high risk and return:** You are for an investment that is extremely high risk in nature (e.g. you have the ability to accept significant volatility and losses as you are seeking to obtain accelerated returns (potentially in a short timeframe)).

**G. What percentage of your total investable assets are you directing to this fund - that is the total assets you have available for investment, excluding your residential home? (select only one option)**

- ☐ **Solution/Standalone** (up to 100%)
- ☐ **Major allocation** (up to 75%)
- ☐ **Core component** (up to 50%)
- ☐ **Minor allocation** (up to 25%)
- ☐ **Satellite allocation** (up to 10%)

**Please note:**

1. Failure to complete the above questions may result in your application not being accepted.
2. Acceptance of your application should not be taken as a representation or confirmation that an investment in the fund is, or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions.
3. For further information on the suitability of this product, please refer to your financial adviser and/or the product's Target Market Determination (TMD).

## 1. INVESTOR DETAILS

Account number

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Investor name

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## 2. SWITCH FROM

Please specify either the dollar amount **or** number of units you wish to switch from (sell). If you wish to make a full switch, please tick the box provided next to the applicable Fund(s).

**Note:** There is a minimum transaction amount of AU\$1,000 for Retail Class, Class A and Class B, and AU\$100,000 for Institutional Class A and Institutional Class B units, unless the full balance is being switched.

To keep your account open, we currently require a minimum balance of AU\$10,000 for Retail Class, Class A and Class B, AU\$25m for Institutional Class A, and AU\$50m for Institutional Class B units. If your balance after the switch is lower than the minimum we will contact you as we may be unable to process your request.

Fund name	APIR Code	Partial switch		Full switch
		Switch amount (AUD)	Switch units (number of units)	
Global Equity				
Contrarius Global Equity Fund - Retail Class	ETL4012AU			<input type="checkbox"/>
Contrarius Global Equity Fund - Institutional Class A	ETL2212AU			<input type="checkbox"/>
Contrarius Global Equity Fund - Institutional Class B	ETL3882AU			<input type="checkbox"/>
Global Asset Allocation				
Contrarius Global Balanced Fund - Retail Class	ETL5212AU			<input type="checkbox"/>
Australia Equity				
Contrarius Australia Equity Fund - Class A	ETL5508AU			<input type="checkbox"/>
Contrarius Australia Equity Fund - Class B	ETL4996AU			<input type="checkbox"/>
Australia Asset Allocation				
Contrarius Australia Balanced Fund - Class A	ETL5505AU			<input type="checkbox"/>

### 3. SWITCH TO

Please specify the dollar amount **or** number of units that you wish to switch into (buy). If you wish to switch the total balance selected in **section 2**, please tick the box provided next to the applicable Fund(s).

**Note:** Minimum transaction amounts apply. A minimum account balance applies to those making an initial investment into a new Fund. (see **section 2** for minimums).

Fund name	APIR Code	Partial switch		Full switch
		Switch amount (AUD)	Switch units (number of units)	
Global Equity				
Contrarius Global Equity Fund - Retail Class	ETL4012AU			<input type="checkbox"/>
Contrarius Global Equity Fund - Institutional Class A	ETL2212AU			<input type="checkbox"/>
Contrarius Global Equity Fund - Institutional Class B	ETL3882AU			<input type="checkbox"/>
Global Asset Allocation				
Contrarius Global Balanced Fund - Retail Class	ETL5212AU			<input type="checkbox"/>
Australia Equity				
Contrarius Australia Equity Fund - Class A	ETL5508AU			<input type="checkbox"/>
Contrarius Australia Equity Fund - Class B	ETL4996AU			<input type="checkbox"/>
Australia Asset Allocation				
Contrarius Australia Balanced Fund - Class A	ETL5505AU			<input type="checkbox"/>

### 4. EXISTING INSTRUCTIONS

Please note that your existing instructions (such as your distribution option, reporting preferences and financial institution account details) will continue to apply to your investment in the Fund(s) you are switching to. If you wish to change your instructions, please complete the 'Change of Details' form, available at [www.contrarius.com.au/documentlibrary](http://www.contrarius.com.au/documentlibrary).

## 5. ACKNOWLEDGMENTS AND SIGNATURES

**IMPORTANT:** You must read the following statements and sign this Switch Request Form to confirm your acknowledgment.

I/We:

- have personally received, read and understood the current Product Disclosure Statement and accompanying Reference Guide (together, "PDS") for Contrarius Global Equity Fund, Contrarius Global Balanced Fund, Contrarius Australia Equity Fund, and/or Contrarius Australia Balanced Fund (as applicable), and agree to appoint Equity Trustees to submit a Redemption Form on my behalf if I/we have provided misleading information or are ineligible to hold units in the relevant Fund
- confirm that you have read and understood the Target Market Determination ("TMD") for the relevant Fund, available at [www.contrarius.com.au/documentlibrary](http://www.contrarius.com.au/documentlibrary)
- have carefully considered the features of the relevant Fund as described in the PDS and TMD (including its investment objectives, minimum suggested investment timeframe, risk level, withdrawal arrangements and investor suitability) and you are satisfied that your proposed investment in the relevant Fund is consistent with your investment objectives, financial circumstances and needs
- authorise Equity Trustees, Contrarius Australia and their respective officers or agents, to do anything on my/our behalf necessary for units to be issued to me/us including without limitation to sign any documents necessary for units to be issued to me/us
- have made my/our own independent investigations and appraisals before investing in the relevant Fund and have not relied upon any statements made by Equity Trustees, Contrarius Australia or any of their related entities or their servants, agents, employees or representatives
- agree to indemnify Equity Trustees, Contrarius Australia and any other entity involved in this offering against any loss, liability, damage, claim, cost or expense incurred as a result of any information, representation, declaration, statement, acknowledgment or confirmation in this form being untrue or incorrect
- acknowledge Equity Trustees, Contrarius Australia or any of their related entities or their servants, agents, employees or representatives are not responsible for any delays in receipt of this form or application money
- acknowledge that Equity Trustees and Contrarius Australia does not guarantee the performance of any Contrarius Fund or its assets, or the repayment of the capital invested in any Contrarius Fund
- declare that the information provided is complete and accurate and we are not liable for any loss arising from processing this form as a result of compliance with Australia's Anti-Money Laundering/Counter Terrorism Financing Laws, and
- confirm there have been no changes to our FATCA or CRS status.

### Signing instructions

**Individual** - where the investment is in one name, the account holder must sign.

**Joint Holding** - where the investment is in more than one name, all of the account holders must sign.

**Companies** - where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

**Trust** - the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** - if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/we attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

#### Signature of Investor 1, Director or authorised representative

Signature

Please print full name

Date signed

#### Company officer (please indicate company capacity)

Director ☐

Sole Director and Company Secretary ☐

Authorised Representative ☐

#### Signature of Investor 2, Director/Company Secretary or authorised representative

Signature

Please print full name

Date signed

#### Company officer (please indicate company capacity)

Director ☐

Company Secretary ☐

Authorised Representative ☐